














Instructions - Animal Welfare Database
Auditors

Table of contents

1	Master data maintenance.....	4
2	Login to the animal welfare database.....	5
2.1	Change password	5
3	Animal welfare database.....	6
3.1	Master data menu.....	6
3.1.1	Menu item Locations	6
3.2	Auditing menu.....	9
3.2.1	Menu item Audit reports	9
3.2.2	Menu item Auditors	11
3.2.3	Enter audit report menu item	12
	12
3.3	Menu: Corrective actions.....	16
3.4	Entering failed attempts (stock checks)	17
3.5	Entering failed visits (stock checks).....	18
4	Authorizing auditor	19
4.1	Menu item locations	19
4.1.1	Download offline checklist in the location.....	19
4.1.2	Change of certification body	19
4.2	Menu item Certification body	20
4.3	Menu item Auditors	20
	20
4.4	Menu item Audit reports.....	21
4.4.1	Release of audit report	22
4.4.2	Send reset request.....	23
4.4.3	Request audit term extension	23
4.4.4	Unblocking the audit report.....	24
4.4.5	Administer and release corrective actions	24
4.4.6	Release failed attempts (stock checks)	25
5	Eligibility of delivery in the past.....	27
6	Administrative person of the certification body	28
7	Public search function	29
8	Glossary.....	30

Explanation of symbols in the animal welfare database

	New		Edit	
	OK (Save)		Delete	
	Refresh/Update			Next page/ Previous page
	Close		Clear	
	Selection		Open detailed view	

Note: Please note that you should not use the "back" button on your browser. Please also note that you should not click on any button until a page has been fully loaded. The loading status of an Internet page is displayed by your browser.

Note: If you need a placeholder, please enter a percent sign (%) for it (e.g. when filtering the name, location number, etc.).

1 Master data maintenance

Use of the Animal Welfare Database as an **auditor**:

- See master data of the assigned locations (3.1)
- Enter audit reports for your locations (3.2.3)
- Change your entered audit reports (3.2.1)
- View released audit reports and blockage information (3.2.1)
- Input and management of corrective actions (3.3)
- Report failed attempts and failed visit (stock checks) (3.4; 3.5)

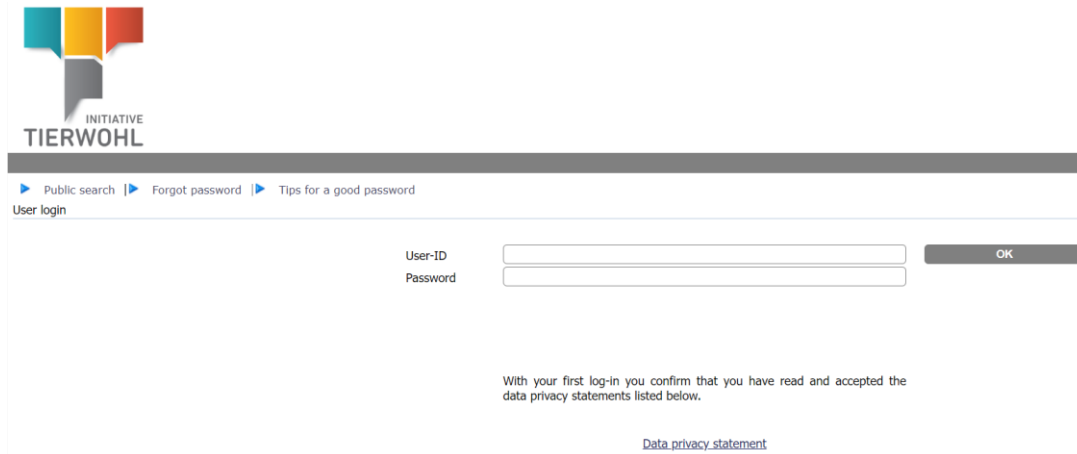
As a authorizing auditor you can additionally:

- Decide on certificate acceptance when changing certification bodies (4.1.2)
- See master data of the certification body (4.2)
- View master data and stages of approval of your certification body's auditors (4.3)
- Release entered audit reports (4.4.1)
- Have audit reports reset (send reset requests) (4.4.2)
- Submit a request for a term extension (Request term extension) (4.4.3)
- Have audit reports unblocked (send unblocking requests) (4.4.4)
- Administer and release corrective actions (4.4.5)
- Release failed attempts (stock checks) (4.4.6)

2 Login to the animal welfare database

Login to the animal
welfare database

<https://datenbank.initiative-tierwohl.de/QSTierwohl/start/do>



- Enter User-ID
- Enter password

And then click OK.

2.1 Change password [Change password](#)

Change password

For security reasons, you should change your assigned password immediately and regularly thereafter.

- Click „change password“
- Enter old password
- Enter new password
- Repeat new password

2.2 Did you forget your password?

Forgotten password

Click on "Forgot password" and enter your user name (User-ID). A new password will be sent to the e-mail address deposited for you.

3 Animal welfare database

Animal welfare data-
base



▶ Master data | ▶ Auditing | 🔑 Change password | 🚪 Sign off | ▶ Corrective actions | ★ Favorites

Master data menu:

Master data menu

Here you will find all the locations of your certification body that you can audit according to your approval.

Auditing menu

Auditing menu

Here you can enter audit reports as well as view and manage your entered audit reports. Under the menu item Auditors you can see your master data.

Change Password Menu

Change Password
Menu

The password can be changed here.

Sign off menu

Sign off menu

You can log out here.

Favourites menu

Favourites menu

Here you can create and manage favourites. Click on "Add Favourite" to save the page you are currently viewing. If you want to remove a favourite, first click on "Edit favourites" and then click on the favourite you want to remove. To finish this action, click on "End Edit".

3.1 Master data menu

Master data menu


3.1.1 Menu item Locations

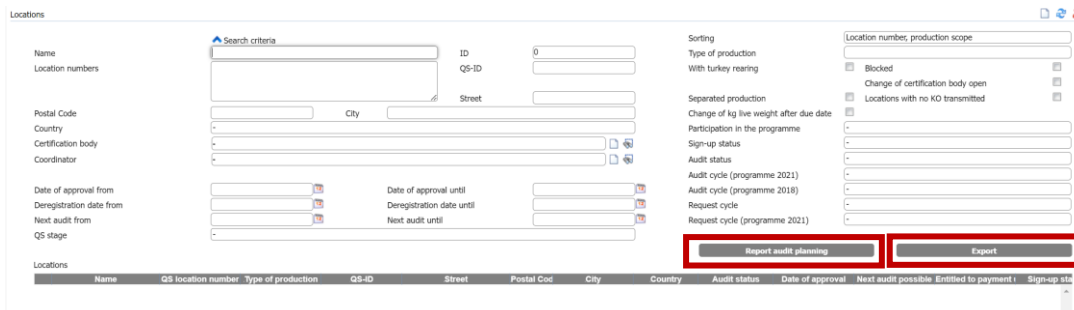
Menu item locations



▶ Master data
▶ Locations

Here you will find all the locations of your certification body that you can audit according to your approval.

Update with  and restrict the search with filter functions beforehand, if necessary.



Export functions

You can export the data in Excel format and process it further.

An Excel file with all locations will be created. If you want to limit the selection before downloading the file, use the filter functions.


Export functions

Export

Click  and you will get an Excel file of all locations, depending on the filtering you set before.

Button Export

Report audit planning

Click  and you will receive an Excel file with all locations and related information you need for your audit planning, e.g. information on the desired audit type and contact details of the location.

Button Report Audit planning

In the audit planning report, the auditor is shown all locations to be audited that are assigned to the certification body and for which the auditor has an approval.

Detailed view location

Click  to open a detailed view of the location.

Locations > Location

Name	Test GmbH		Date of approval	22.08.2022	
ID			Audit terms		
QS location number		Type of production	1002 Calf production	Audit term extension	

Location	Audit data/ Blockings	Audit reports	Contact person	Separated production	History
Name *					
QS location number *		Type of production *	1002 Calf production		
Street *					
Postal Code *					
Post-office box					
Post-office box postal code					
URL					
Address of sty/coop *					
Remark					
Date of approval	22.08.2022	Certification scheme	QS		
Approved until (programme 2021)	30.06.2025	Audit status	Passed		
Entitled to payment until		Sign-up status	Signed-up		
Date of change of coordinator		Telephone			
Coordinator *		Fax			
Change of certification body		Mobile			
Date audit release		Email	support@q-s.de		
Sign-up date	02.08.2022	Term for certificate acceptance			
Date of implementation (Programme 2021) *	02.08.2022	Deregistration date			

Location tab

The master data of the location as well as additional information (registration status, etc.) are displayed here. This tab contains the master data of the location. You cannot edit this data.

Location tab

Audit data/Blockings tab

The audit data and current blockings are displayed here. The audit cycle, among other things, is also displayed here.

Audit data/blockings tab

Site-specific checklist tab

The site-specific checklist is displayed here.

Site-specific checklist tab

Location blocking tab

The blockages of the location are displayed here.

Location blocking tab

Audit reports tab

The audit reports of the location are displayed here. Click  to open the audit report.

Audit reports tab

Contact person tab

The contact persons for the location are displayed here. Click on  to open the record.

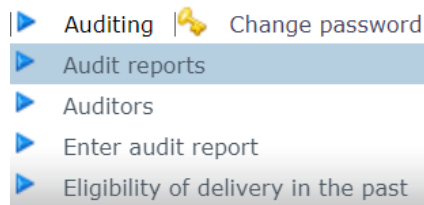
Contact person tab

3.2 Auditing menu

Auditing menu

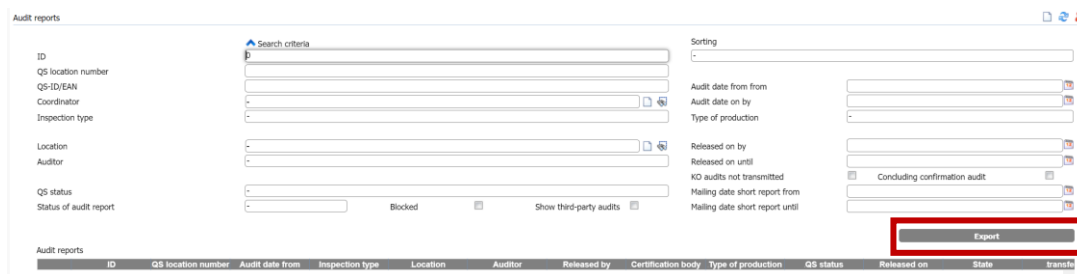
3.2.1 Menu item Audit reports

Menu item audit reports



Here you will find all audit reports that have been entered and released.

Before clicking  , narrow the search if necessary with filter options, e.g. production scope(s)



Audit reports can be in different states:

- Entered
- Released
- Released but blocked (audit report must be unblocked by Animal Welfare Initiative)
- Closed ("blocked" by Animal Welfare Initiative, no access)

Note: Audit reports can only be edited while they are in the state "entered".


Excel export:

Excel export

Click on  .

The Excel export provides an overview of all audit reports including additional information that is not shown in the web view, e.g. audit duration and contact person.

Detailed view of audit report

Click  to open audit reports.

Detailed view of audit report

Audit reports > Audit report

QS location number Type of production 1002 Calf production
 Location Audit date from 26.10.2022

Print

Audit report **Audit cut-offs** Audit results Annexes

ID

Audit date from * 26.10.2022 Audit date until 26.10.2022
 Beginning of audit (hh:mm) * 13:45 End of audit (hh:mm) * 14:45
 Duration of audit (hh:mm) * 01:00 (Actual) waiting time (hh:mm) *

Contact person *

Inspection type Programme audit Number of animals 0
 Blocked Proved from Proved until

Initial audit Released on Mailing date deviation report
 Status of audit report Released 28.10.2022 28.10.2022
 QS status Passed

Auditor Released by
 Checklist Calf production Version 01.04.2022
 Certification body
 Remark

General KO Concluding confirmation audit
 Remark General-KO

Quantity report
 Remark quantity report

Audit report tab

Here you will find a summary of the information on the audit report.

Audit report tab

Audit cut-offs tab

Here you can see the blockings and resets of the audit report.

Audit cut-offs tab

Audit results tab

Overview of audit results including comments. Open detailed view with .


Audit results tab

Annexes tab

Here are the deposited files as annexes.

Annexes tab


Summary of the audit report

By clicking on  you can generate a summary of the audit report as a pdf file and print it out if required.

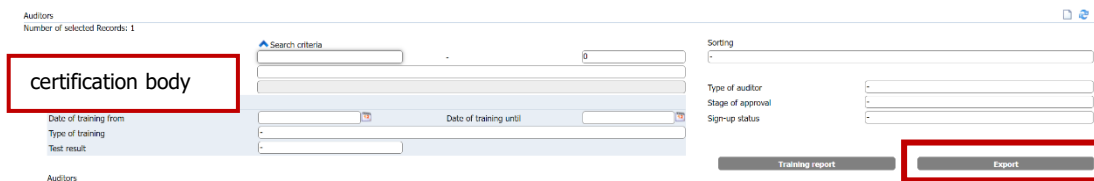
Print summary of the audit report

3.2.2 Menu item Auditors

Menu item Auditors

- ▶ Auditing |  Change password
- ▶ Audit reports
- ▶ **Auditors**
- ▶ Enter audit report
- ▶ Eligibility of delivery in the past

Here you can see your master data.

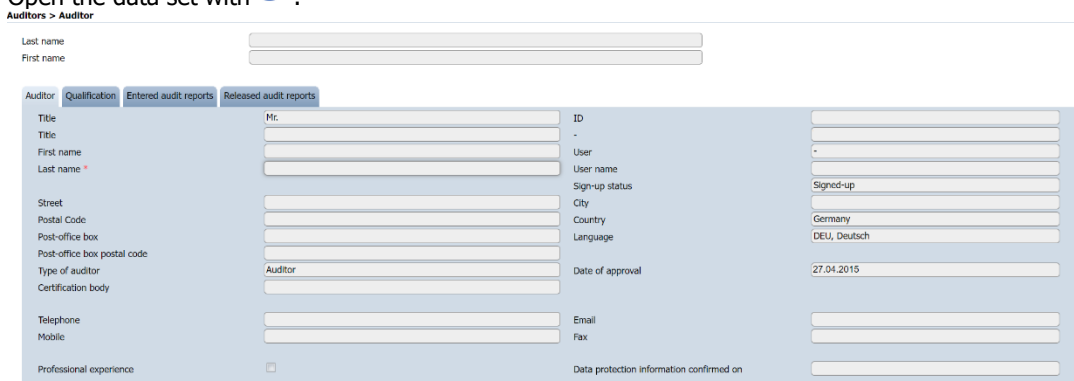


By clicking on **Export** you can export the data set as an Excel file.

Button Export

Open the data set with  .

Open record



Auditor tab

Auditor tab

Here you can see your master data.

Qualification tab

Qualification tab

Here you can see your stored qualifications (degree, work experience, etc.).

Entered audit reports tab

Entered audit reports tab

Here you can see the audit reports you have entered and export them as an Excel file by clicking on




Released audit reports tab

Released audit reports tab

Here you can view your released audit reports and export them as an Excel file by clicking on



3.2.3 Enter audit report menu item


- ▶ Auditing  Change password
- ▶ Audit reports
- ▶ Auditors
- ▶ Enter audit report
- ▶ Eligibility of delivery in the past

You can enter audit reports directly into the database in **4 steps**.

Step 1: Selection of audit type and audited location

Step 1: Selecting the location

Important: First select the **audit type!**

By clicking on  , the locations that can be audited appear.

Enter audit report: > Selection locations

< Back Close Next

Name

QS location number Type of production

Street

Postal Code

Country Inspection type Programme audit

Excel Import Excel Export

Location	Name	QS location number	Type of production	QS-ID	Street	Postal Code	City	Country

Select the **location** for auditing from the list and then click on **Next** .

Step 2: Enter audit data

Step 2: Entering the audit data

Test456	276214536215874		Schedestraße	53113	Bonn
Test	276236541478452		Schedestraße	53113	Bonn
Test Piggy	276236587410259		Schedestraße	53113	Bonn

by VBO Services GmbH

Enter the master data of the audit and then click on continue.

Plausibility check - view in the web application (using the example of pigs)

Enter audit report: > Selection locations > Enter audit data

< Back Close

Audit date from *
 Beginning of audit (hh:mm) *
 Duration of audit *
 Contact person *

Checklist

Remark

Audit date until
 End of audit (hh:mm) *
 Number of animals 0

Quantity report Quantity report (number of animals) correct
 Quantity report (number of animals) not correct

Proved from
 Proved until

Remark quantity report

Repeated General-KO
 Remark repeated General-KO

Fields marked with * must be filled in.

Plausibility check - view in the offline checklist

Example pig production (2001): Enter plausibility check in the offline checklist

Scope - Pig production					
Production scope		Number of animals			
2001	Pig production				
Period audited		from	DD.MM.YYYY	until	DD.MM.YYYY
Quantity report: Random check of the report correct?		Yes	Remark quantity report:		
		No			

Description of the fields for the plausibility check when entering the audit data

Number of animals: The number of animals that were correctly reported in the period can be entered here (not mandatory).

Period audited (Proved from/until): Period for which the information on the number of animals was checked (not mandatory).

Quantity report: Random check of the report correct: This indicates whether the livestock owner has correctly made the livestock number declaration to the coordinator for reporting to the clearing house = plausibility check (mandatory for confirmation audits). The following can be selected:

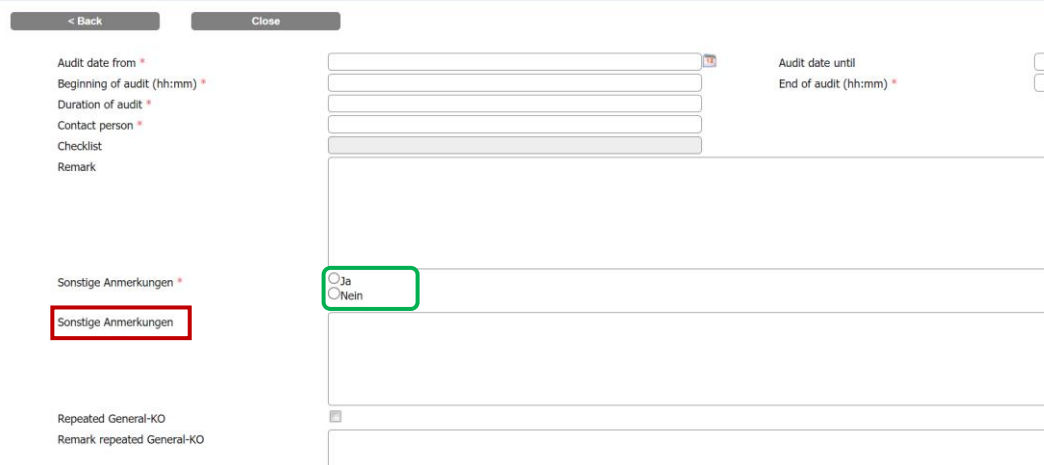
- Quantity report correct (YES)
- Quantity report not correct (NO)

Remark quantity report: This field is mandatory if the animal count declaration is not correct. This field should describe in detail which nonconformities were found (if the quantity declaration is correct, please do not enter any remarks here).

Not to be confused with the field **Remark** (above the quantity report in the web application and on the first page in the offline checklist as field "Comments"): In this field you can enter general remarks; if the quantity report is correct you can store additional information here.

View in the web application (using the example meat industry)

Enter audit report: > Selection locations > Enter audit data



Information on the field "Other comments" ("Sonstige Anmerkungen"): This field is mandatory and must be answered with "Yes" or "No". If the answer is "Yes", the corresponding text field must be filled in.

Step 3: Input results

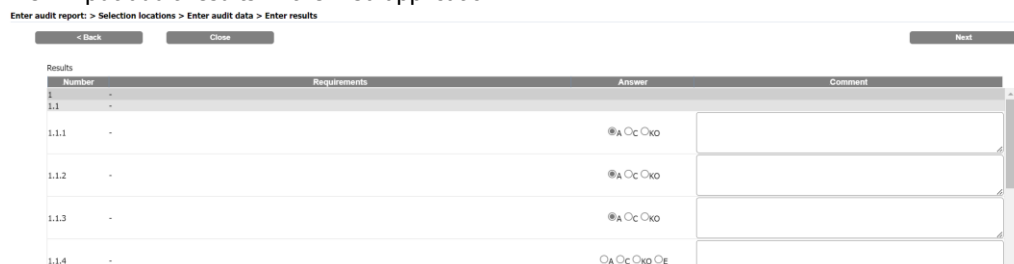
The auditor can enter the audit results directly into the online mask or fill in a previously exported offline checklist and upload it afterwards.

For KO evaluations and fields marked with *, comments are mandatory.

For criteria labelled with corrective action (CA), corrective actions can be agreed upon. The evaluation for corrective actions is "C". If criteria are evaluated with "C", actions must be initiated. These must be entered in the corrective actions report (nonconformity, corrective action and deadline (DD/MM/YYYY)).

View input audit results in the web application:

Enter audit report: > Selection locations > Enter audit data > Enter results



Number	Requirements	Answer	Comment
1	-		
1.1	-		
1.1.1	-	<input type="radio"/> A <input type="radio"/> C <input type="radio"/> X	
1.1.2	-	<input type="radio"/> A <input type="radio"/> C <input type="radio"/> X	
1.1.3	-	<input type="radio"/> A <input type="radio"/> C <input type="radio"/> X	
1.1.4	-	<input type="radio"/> A <input type="radio"/> C <input type="radio"/> X <input type="radio"/> E	

View input audit results in the offline checklist:

Step 3: Entering the results

Audit results in the web application

Audit results in the offline checklist

1.1.1	-					
1.1.2	-					
1.1.3	-					
1.1.4	-					

Step 4: Enter corrective actions:

Enter the corrective action in the web application:



Enter audit report: > Selection locations > Enter audit data > Enter results > Korrekturmaßnahmen

Nr.	Anforderung	Abweichung	Korrekturmaßnahme	Adjustment term
1.1.1	-			

Step 4: Enter corrective actions

Corrective actions in the web application

Enter the corrective action (offline checklist):

Nr.	Evaluation	Nonconformity	Corrective actions	Deadline
1.1.1	C	Test	Carry out test	01.02.2023

Corrective actions in the offline checklist

Export offline checklists

- Blank offline checklist
- Site-specific offline checklist

Offline checklists download

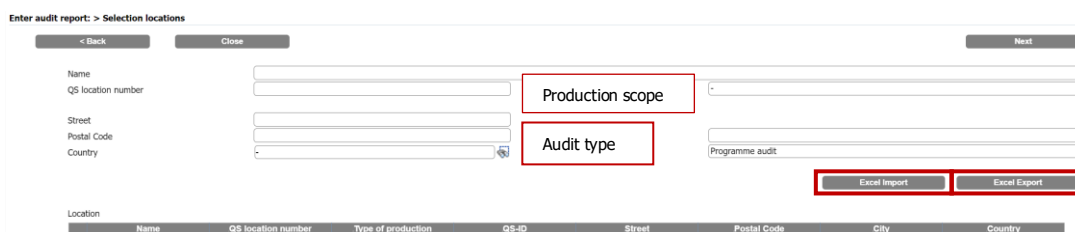
Site-specific offline checklist: If you want to export a site-specific checklist, select **the audit type** and the **desired location**.

Site-specific checklist

Blank checklist: If you want a non-site-specific checklist (with all check points), do **not select a location** and enter the **required production scope**.

Blank checklist

Note: To work correctly with the tool, you need at least Office 2007/Windows XP or a higher version.



Enter audit report: > Selection locations

Name: _____

QS location number: _____ **Production scope**

Street: _____

Postal Code: _____

Country: _____ **Audit type**

Programme audit: _____

Excel Import **Excel Export**

Location	Name	QS location number	Type of production	QS-ID	Street	Postal Code	City	Country
----------	------	--------------------	--------------------	-------	--------	-------------	------	---------

Export checklist as Excel file

Click on the location for which you want to enter the audit report. Then click on **Excel Export**.

Enter audit results

As an auditor, fill in the Excel spreadsheet with the answers.

Enter audit results

Import checklist Excel Import

The completed Excel checklist is imported and checked for errors. Any errors that occur are displayed online.

Import Checklist

Check the **entry of the audit data** and then click on Next.

Check audit data

Check the **entry of the results** and then click on Next.


Check audit results

The audit report is now saved!


Note: Audit reports can only be deleted and edited while they are in the state "entered". Once the audit report has been released, it can no longer be deleted.

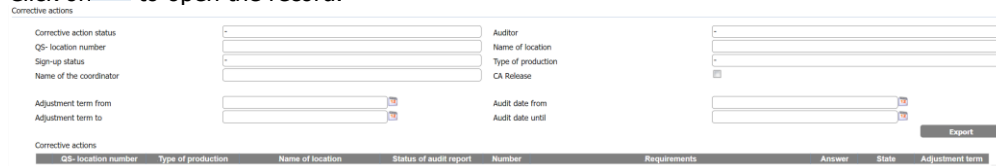
3.3 Menu: Corrective actions

All corrective actions are displayed here and can be edited.

Update with . If necessary, limit the search beforehand with the filter function (e.g. by location number, adjustment term, etc.).

Corrective actions menu


Click on  to open the record.

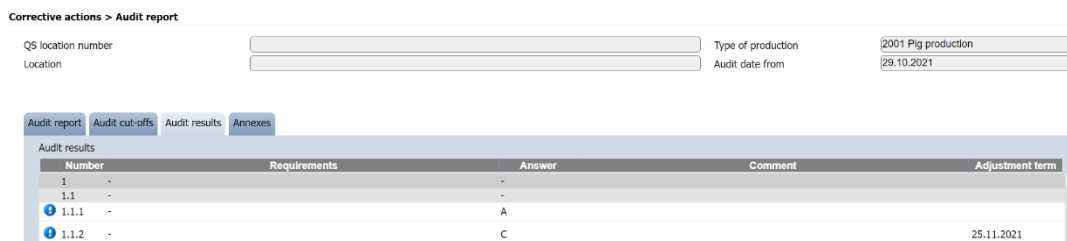


Corrective actions can be in 3 different states (statuses):

- Open: Implementation of the corrective action has not yet started.
- Corrected: The implementation of the corrective action has been proven.
- Not corrected: The corrective action has verifiable not been implemented.

Edit corrective actions

In the Audit results tab, select the corrective actions via the button .



Number	Requirements	Answer	Comment	Adjustment term
1	-	-		
1.1	-	-		
1.1.1	-	A		
1.1.2	-	C		25.11.2021

A new view opens where you can edit the status of the corrective action.

Corrective actions > Audit report > Audit result

Audit result	
Number	1.1.2
Requirements	-
Answer	C
Comment	
Corrective action	
Adjustment term	25.11.2021
Corrected on	04.11.2021
-	05.11.2021
-	
-	
Corrective action status	Corrected
-	<input type="checkbox"/>
Abweichung	
Corrective action	
Implementation corrective action	

In the case of "open" corrective actions, a temporary blockage is set in the location with the blockage reason "open corrective action". The location is blocked until the corrective actions are implemented. When the state of the corrective action is set to "corrected", the temporary blockage is automatically removed.

The status "not corrected" means that a corrective action has not been carried out (in due time) and is equivalent to a KO evaluation.

Corrective actions "for release" (see also point 4.4.5)

The authorizing auditor must check and release the status change of the corrective action to "corrected" or "not corrected" in a four-eye-principle. If the authorizing auditor carries out the status change himself/herself, no release is required.

Note: Open corrective actions can be processed both in the state "entered" an "released" of the audit report.

After the change of certification body, the new certification body is responsible for processing the open corrective actions.

3.4 Entering failed attempts (stock checks)

In the location record, click the button

Report failed attempt

Enter failed attempts

Report failed attempt

Locations > Location

Name		Date of approval		Download offline checklist
ID		Audit terms		Report failed attempt
QS location number		Audit term extension		Report failed visit
Type of production	2001 Pig production			

Location Audit data/Blockings Location-specific checklists Audit reports Separated production Contact person History

Name *		Type of production *	2001 Pig production
QS location number *		ID-QS	
Street *		City *	
Postal Code *		Country *	Germany
Post-office box		Federal state	
Post-office box postal code			
URL			

Enter the date of the failed attempt and a comment (reason why the audit could not be carried out) and confirm the entry. The number of the failed attempt is filled in automatically. The view when entering further failed attempts is identical.

Enter date and remark for the failed attempt

Locations > Location > Report failed attempt



The screenshot shows a form titled "Report failed attempt". It has two main input fields: "Date failed attempt" and "Marketer". The "Date failed attempt" field has a small calendar icon to its right. The "Marketer" field is a large, empty text area. To the right of the form are two buttons: "OK" and "Cancel".

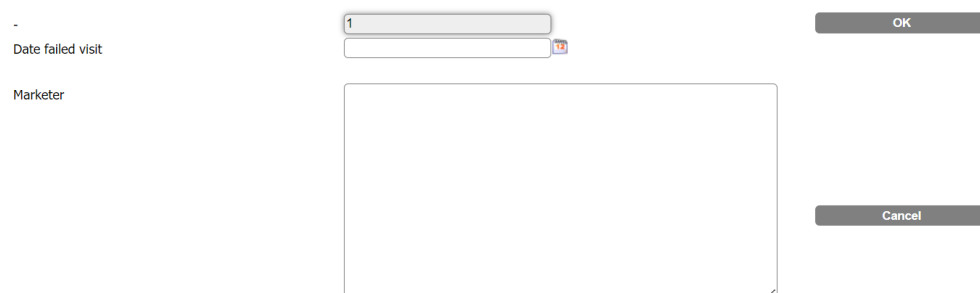
3.5 Entering failed visits (stock checks)

Enter failed visit

Click the button **Report failed visit** in the location data record. An input window opens in which the date of the failed visit and a comment must be entered. Click "OK" to save the entry.

In contrast to the failed attempts, no release is required after entering failed visits.

Locations > Location > Report failed visit



The screenshot shows a form titled "Report failed visit". It has two main input fields: "Date failed visit" and "Marketer". The "Date failed visit" field has a small calendar icon to its right. The "Marketer" field is a large, empty text area. To the right of the form are two buttons: "OK" and "Cancel".

4 Authorizing auditor

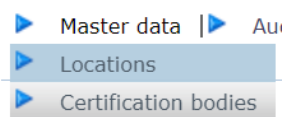
Authorizing auditor

In addition to what an auditor can see and edit, an authorizing auditor can also:

- Accept or reject certificates when changing certification bodies (4.1.2)
- See master data of the certification body (4.2)
- View master data and stages of approval of your certification body's auditors (4.3)
- Release entered audit reports (4.4.1)
- Submit reset requests (4.4.2)
- Submit a request for a term extension (4.4.3)
- Have audit reports unblocked (send unblocking requests) (4.4.4)
- Administer and release corrective actions (4.4.5)
- Release failed attempts (stock checks) (4.4.6)

4.1 Menu item locations

Menu item locations



Here you will find all the locations of your certification body (see 3.1.1).

4.1.1 Download offline checklist in the location

Download offline checklist

When you open the location, you have the option to download the offline checklist with the button

Download offline checklist

4.1.2 Change of certification body

Change of certification body

Accept certificate

Accept certificate

Accept certificate

The button is visible within the period of the certification body change (14 days). By clicking on "Accept certificate", the certification body confirms the transfer of the certificate from the old certification body.

Reject certificate

Reject certificate

Reject certificate

The button is visible within the period of the certification body change (14 days). By clicking on "Reject certificate", the certification body refuses to accept the certificate of the old certification body.

If the certificate was not accepted or was rejected, a confirmation audit must be entered and released by the new certification body within 14 days. The field "Date audit release" is then filled in the location.

4.2 Menu item Certification body

- ▶ Master data | ▶ Au
- ▶ Locations
- ▶ **Certification bodies**

Here you will find the master data and contact persons of your certification body.

Certification body tab

Overview of the master data of your certification body.

Certification body

Name

QS-ID

ID

Report stages of approval

Certification body **Contact person**

Name *	<input type="text"/>	ID	<input type="text"/>
QS-ID *	<input type="text"/>	Sign-up status	Signed-up
accreditation valid until	<input type="text"/>	Termination date	<input type="text"/>
Contract date	<input type="text"/>		
Street	<input type="text"/>		
Postal Code	<input type="text"/>	City	<input type="text"/>
Post-office box	<input type="text"/>	Country	Germany
Post-office box postal code	<input type="text"/>	Federal state	<input type="text"/>
Remark	<input type="text"/>		
Telephone	<input type="text"/>	Fax	<input type="text"/>
Mobile	<input type="text"/>	Email	<input type="text"/>
Location Plan	<input type="text"/>	Email for short reports	<input type="text"/>

Contact person tab

Overview of the users of your certification body.

There are the following types of users:


- Certification Body Manager
- Contact person certification body
- Deputy of contact person certification body

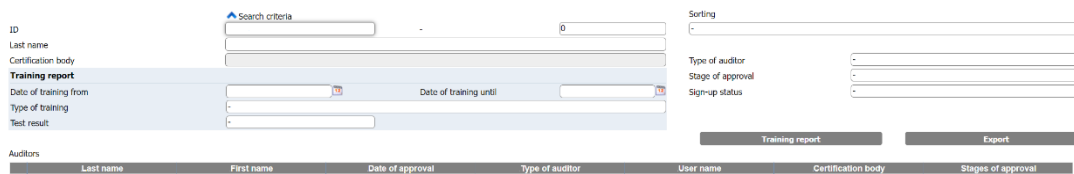
Note: The master data cannot be edited.

4.3 Menu item Auditors

- ▶ Auditing | 🔑 Change password
- ▶ Audit reports
- ▶ **Auditors**
- ▶ Enter audit report
- ▶ Eligibility of delivery in the past

Here you will find all approved auditors of your certification body, including stages of approval.


Before clicking  , narrow the search, if necessary, with filter options, e.g. name.

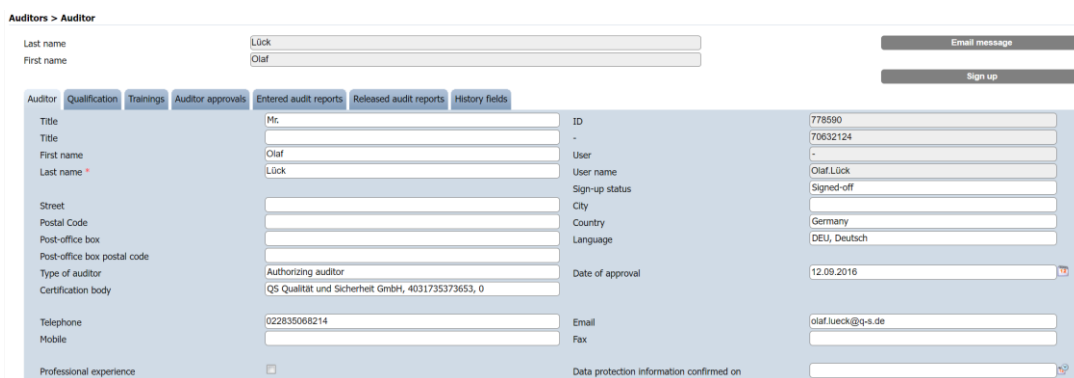


Here you can see the auditors of your certification body. You cannot edit these yourself.

By clicking on **Export** you can export the data set as an Excel file.

Button Export

Open the auditor record with .



Detail view auditor

Auditor tab

Here you can see the master data of the auditors of your certification body.

Auditor tab

Qualification tab

Here you can see the qualification for the auditors of your certification body (degree, professional experience, etc.).

Qualification tab

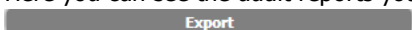
Auditor approvals tab

The auditor's approvals are stored here.

Auditor approvals tab

Reports entered tab


Here you can see the audit reports you have entered and export them as an Excel file by clicking on



Reports entered tab

Released reports tab

Here you can view your released audit reports and export them as an Excel file by clicking on




Released reports tab

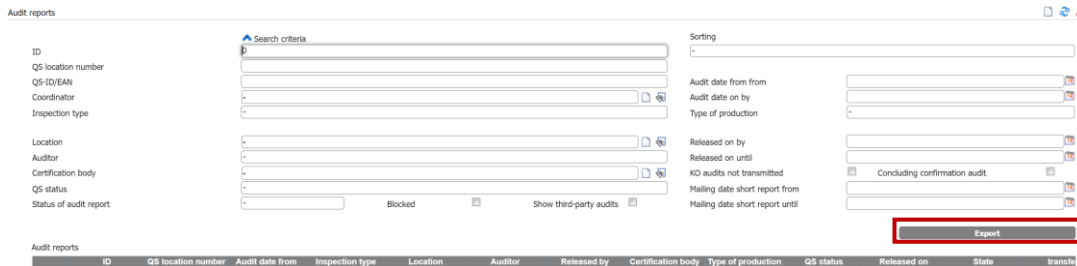
Note: The auditor data record is not editable.

4.4 Menu item Audit reports

Menu item Audit reports

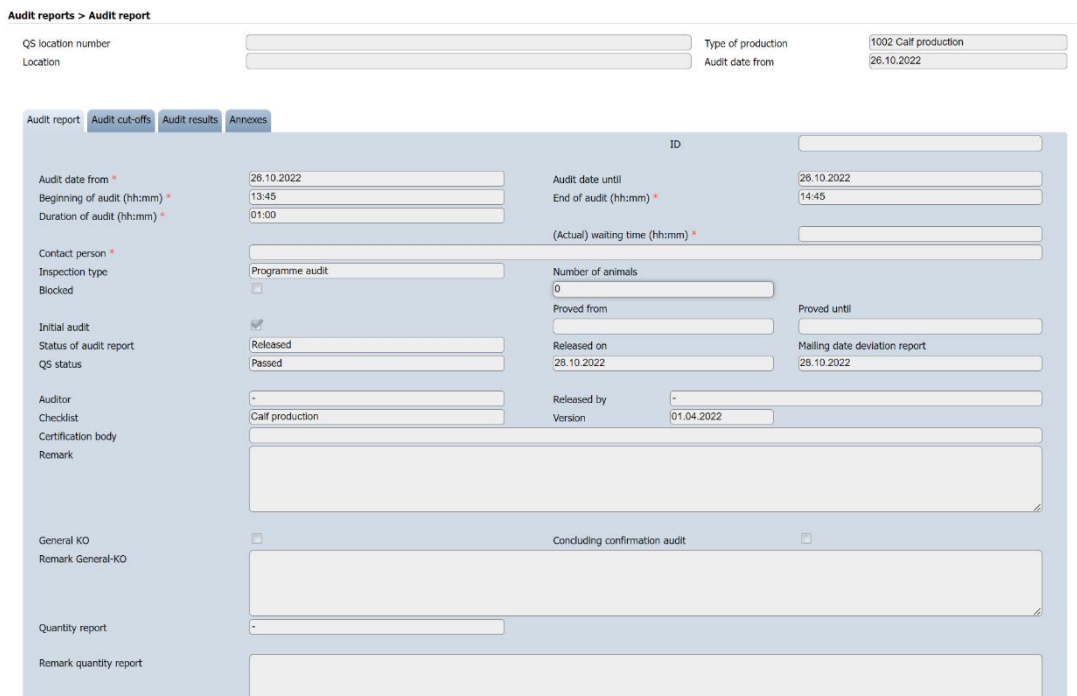
Here you will find the submitted and released reports of your certification body and, if applicable, anonymised reports of third-party certification bodies after a change of certification body.

Click  to display all audit reports. If necessary, limit the search beforehand with filter functions, e.g. production scopes.




Open detailed view of audit report with  and delete with .

Detailed view of audit report




Audit report tab: Here you will find a summary of the information on the audit report.

Audit report tab

Audit cut-offs tab: Overview of reasons for blocking the audit report. Open detailed view with . Here, a request for unblocking can be viewed again.

Audit Blocks/Resets tab

Audit results tab: Overview of audit results including comments. Open detailed view with .

Audit results tab

Annexes tab: Here you can see the attached annexes.


Annexes tab

By clicking on  you can export the data records as an Excel file.

4.4.1 Release of audit report

Release of audit report

"Four-eye-principle": The audit is checked for technical errors by a authorizing auditor and then released. The authorizing auditor must not have entered the audit report himself/herself.

Open the audit report with  .

Audit reports > Audit report

QS location number Type of production
 Location Audit date from

Audit report | **Audit cut-offs** | Audit results | Annexes


ID
 Audit date from * Audit date until
 Beginning of audit (hh:mm) * End of audit (hh:mm) *
 Duration of audit (hh:mm) * (Actual) waiting time (hh:mm) *
 Contact person *
 Inspection type Number of animals
 Blocked Proved from Proved until
 Initial audit Released on Mailing date deviation report
 Status of audit report
 QS status
 Auditor Released by
 Checklist Version
 Certification body
 Remark
 General KO Concluding confirmation audit
 Remark General-KO
 Quantity report Quantity report (number of animals) correct
 Quantity report (number of animals) not correct
 Remark quantity report

The responsible auditor clicks on the button  in the detailed view of the audit.

Note: The audit report can now no longer be edited.

Send reset request

4.4.2 Send reset request

If the audit report is released and not blocked, you can reset the audit report in the detailed view of the audit by clicking the button  .

Audit reports > Audit report > Reset request

Reason

To do this, enter the reason for the reset request as free text and then click OK.

As soon as the reset request has been accepted, you will be informed by e-mail. The audit report can then be reprocessed.

Request audit term extension

4.4.3 Request audit term extension

The button "Request audit time extension" appears in the site record two weeks before the deadline for audit approval and is no longer visible when the deadline expires.

Enter a reason for this and confirm with OK.

Reason

The Gesellschaft zur Förderung des Tierwohls in der Nutztierhaltung mbH decides whether to extend the deadline and, if the application is accepted, enters a new audit time.

4.4.4 Unblocking the audit report

Unblock audit report

If the audit is blocked, you can unblock the audit by clicking on the button



in the detailed view of the audit. Here you can request the unblocking of an audit report. Audit reports run into a blockage if the 4-week period for the entry and release of audit report has been exceeded.

To do this, enter the reason for unblocking as free text and then click OK.

Reason

The Gesellschaft zur Förderung des Tierwohls in der Nutztierhaltung mbH decides whether the audit report is released. After release, the audit report receives the status "released".

4.4.5 Administer and release corrective actions

Administer/release corrective actions

The authorizing auditor must check and release the status change of the corrective action to "corrected" or "not corrected" in a four-eye-principle.

If the authorizing auditor performs the status change themselves, no release is required.

You can open and edit the corrective action via menu "Corrective actions" or alternatively via menu "Auditing".

Open corrective actions

- Menu Corrective Actions > Open Corrective Action > Audit Results tab (in the audit report view) > open the requirement, with the corrective action to be processed:
- Auditing menu> Audit reports menu item > Open audit report > Audit results tab > open the requirement, with the corrective action to be processed:

Corrective actions > Audit report > Audit result

Audit result

Number: 1.1.2

Requirements: -

Answer: C

Comment:

Corrective action

Adjustment term: 25.11.2021

Corrected on: 04.11.2021

-

-

Corrective action status: Corrected

Abweichung:

Corrective action:

Implementation corrective action:

Reset request **Release**

Submit a reset request:

To process a corrective action that has already been "released", a reset request can be made. To do this, open the corrective action and click on the button "Reset request". Approval by the Animal Welfare initiative is required for the change.

Submit CA reset request

4.4.6 Release failed attempts (stock checks)

From the 2nd failed attempt, the button "Release failed attempt" appears on the right in the location record.

Release failed attempt

Locations > Location

Name: Test GmbH

ID:

QS location number:

Type of production: 1002 Calf production

Date of approval: 22.08.2022

Audit terms:

Audit term extension:

Fehlversuch freigeben

Location: Audit data/ Blockings Audit reports Contact person Separated production History

Name *

QS location number *

Street *

Postal Code *

Post-office box

Post-office box postal code

URL

Address of sty/coop *

Type of production *

ID-QS

City *

Country *

Federal state

Remark

Date of approval: 22.08.2022

Approved until (programme 2021): 30.06.2025

Entitled to payment until:

Date of change of coordinator

Coordinator *

Certification scheme: QS

Audit status: Passed

Sign-up status: Signed-up

Telephone

Fax

Mobile

Email: support@q-s.de

Change of certification body

Date audit release

Sign-up date: 02.08.2022

Date of implementation (Programme 2021) *: 02.08.2022

Term for certificate acceptance

Deregistration date

Note: After the release of the 2nd failed attempt, the location is automatically blocked. In the tab Location blockage, "failed attempt stock check" appears in the column blockage reason. After a successfully performed stock check has been entered and released, the blockage is automatically removed again.

Filter by failed attempts to be released

**To be released
Filter failed attempts**

To make it easier to identify failed attempts to be released, it is possible to filter for failed attempts to be released in the *Locations* menu.

Sorting	<input type="text" value="Location number, production scope"/>
Type of production	<input type="text" value=""/>
With turkey rearing	<input type="checkbox"/> Blocked <input type="checkbox"/>
Release failed attempt	<input checked="" type="checkbox"/> Change of certification body open <input type="checkbox"/>
Separated production	<input type="checkbox"/> Locations with no KO transmitted <input type="checkbox"/>
Change of kg live weight after due date	<input type="checkbox"/>
Participation in the programme	<input type="text" value="-"/>
Sign-up status	<input type="text" value="-"/>

Confirm the information given by the auditor with OK and release the failed attempt. The authorizing auditor cannot make any changes to the information given by the auditor.

Release failed attempt with OK

Locations > Location > Report failed attempt

-

Date failed attempt *

Marketer *

5 Eligibility of delivery in the past

With the menu item "Eligibility of delivery in the past" in the menu "Auditing" you can check the status of the eligibility of delivery of companies in the Animal Welfare Initiative for dates in the past, i.e. for the specific day of delivery.

Eligibility of delivery in the past

Stage:

Location number:

Eligible to deliver from: Eligible to deliver to:

Locations eligible to deliver

Location number	Type of production	QS-ID	Name
-----------------	--------------------	-------	------

Select the **stage**.

Select the stage

- **Stage Agriculture:** Search only possible with complete location number. A maximum of five can be entered at the same time (separated by comma or semicolon).
- **Other stages** (slaughtering/deboning, intermediaries or processing): Search by complete QS-ID or location number (max. 5 at the same time) possible. Other filter options are name, postal code, city and country.

Enter the **period to be checked** in the fields "**authorised to deliver from**" and "**authorised to deliver to**".

Enter the time period

Note: The check of the eligibility of delivery in the past is only possible until 15.09.2020 and for a period of **max. 14 days**.

Click on the "**Search**" button to display the dates and if the location was eligible for delivery or not for the period entered.

Eligibility of delivery in the past

Stage:

Location number:

Eligible to deliver from: Eligible to deliver to:

Locations eligible to deliver

Location number	Type of production	QS-ID	20.04.2022	21.04.2022	22.04.2022	23.04.2022	24.04.2022	
276010000000000	3001 Broiler production		Not eligible for delivery	Not eligible for delivery	3001 (Broiler production)	3001 (Broiler production)	3001 (Broiler production)	3001 (Broiler production)

Note: Everything that leads to a loss of eligibility of delivery during the day (e.g. manual blockage, release of KO audit) is output as not eligible for delivery as of the following day. Everything that leads to an eligibility of delivery during the day (e.g. release of audit report, lifting of blockage) is output as eligible for delivery for the day.

6 Administrative person of the certification body

An *administrative person* of a certification body can only see the following:

- Master data of the assigned locations (3.1)
- Audit reports and blocking information (3.2.1)
- Master data of the certification body (4.2)
- Master data and stages of approval of the auditors of your certification body (4.3)
- Corrective actions entered (3.3)
- Use export functions (e.g. report audit planning)

7 Public search function

The public search function can be called up without logging in. This function can be used to search for and display locations authorised for delivery.

Step 1: In the first step you can select the **stage** (agriculture, slaughtering and deboning, intermediaries or processing).

Public search

Stage

Agriculture

Agriculture

Slaughtering and deboning

Processing

Intermediaries

Next

Step 2:

If you have selected the stage "agriculture", you can filter by production scope:

Public search > Agriculture

Location numbers

Production scope

Locations entitled to payment

Location number

1001 Cattle production

1002 Calf production

1008 Dairy farming

1016 Suckling cow production

2001 Pig production

2004 Sow production

2008 Piglet rearing

3001 Broiler production

3004 Turkey production

Search

If you have selected the stage "slaughtering and deboning", "intermediaries" or "processing", you can filter by name, QS ID, postal code, city or country.

Public search > Meat sector

Name

Location number

QS-ID

Postal Code

City

Country

Search

Locations eligible to deliver

Name	Postal Code	City	Location number	Type of production
------	-------------	------	-----------------	--------------------

8 Glossary

Date of implementation: Date from which a livestock owner will comply with the specified animal welfare criteria.

Audit cycle: The field provides information on which stage of the audit cycle the location is in.

- Not audited
- Programme audit carried out
- Confirmation audit 1 possible
- Confirmation audit 1 carried out
- Confirmation audit 2 possible
- Confirmation audit 2 carried out
- Programme completed

Next audit possible: Earliest date from which an audit can be carried out/entered.

Audit terms: Latest date for the release of an audit report.

Sign-up status:

- Registered
- Registered/master data complete
- Registration possible
- Signed-up
- Preliminary signed-off
- Signed-off
- Finally blocked

Request cycle:

- Request to Vetproof
- Vetproof answer positive
- Vetproof answer negative
- Enquiry clearing house
- Enquiry clearing house negative
- Programme audit possible/audit permission
- Preliminary enquiry clearing House
- Preliminary enquiry clearing house (audit permission)
- Preliminary enquiry clearing house (no audit permission)

Audit status:

- Not audited
- Passed with reservation
- Passed
- Failed

Gesellschaft zur Förderung des Tierwohls in der Nutztierhaltung mbH (*Company for the Promotion of Animal Welfare in Livestock Production Ltd.*)

CEO: Dr. Alexander Hinrichs, Robert Römer

Schwertberger Straße 14,

53177 Bonn

Tel +49 228 35068-0

Fax +49 228 35068-10

Info@initiative-tierwohl.de